

The Financial Intelligence Centre Act (FICA) requires Alfa Financials PTY Ltd., to establish and verify the identities and residential addresses of all their clients. It is mandatory to submit proof of primary identification (1.1), and proof of residence (1.2) from the list below and either one of Proof of Banking (1.3) and/or Proof of Income Tax number (1.4) in order to comply with the obligations set out in FICA:

1.1. SA Barcoded ID (Primary Identification)

When ID is not available, please provide one of the following:

- Valid Signed Passport; or
- Valid Driver's License; or
- Birth Certificate.

1.2. Utility bill (dated within the last 3 months of application)

When Utility bill is not available, please provide one of the following to verify your proof of residence:

- A valid Lease Agreement; or
- Home insurance certificate; or
- Mortgage statement (dated within the last 3 months of application); or
- A landline telephone bill (dated within the last 3 months of application); or
- A television license document (dated within the last 3 months of application); or
- A municipal tax invoice (dated within the last 3 months of application); or
- A document or letter issued by SARS (dated within the last 3 months of application); or
- A bank letter or statement confirming the address (dated within the last 3 months of application).

1.3. Proof of Banking

For security reasons, Alfa will make any payments to the client only through the bank account whose title and Account Name exactly matches the client's online trading account held with Alfa. Please provide one of the following to verify the bank account:

- Cancelled cheque; or
- Bank statement not older than 3 months.

1.4. Proof of income tax number

Please provide a copy of an official document issued by the South African Revenue Services bearing tax registration number and client name issued within the last 3 months of application. IRP5 certificates will not be accepted.

All documents submitted must be certified by an independent commissioner of oaths.

Please note that that Professional clients opening an opening application as Corporation, Trust, or Partnership must read FICA Requirements for Professional Clients. Clients opening an account jointly must provide the aforementioned documents for each individual on the Joint Application.